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PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37 C.F.R. § 1.53(B))

Attorney Docket No. N19.12-0040

First Inventor or Application Identifier Bi et al.

Title BATTERIES WITH ELECTROACTIVE
NANOPARTICLES

Express Mail Label No. EL636045521US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

Address To:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ *Fee Transmittal Form e.g., PTO/SB17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Sheets 32]
(preferred arrangement set forth below)
- Descriptive title of the invention)
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 11]
4. Oath or Declaration [Total Sheets 2]
a. ☐ Newly executed (original or copy)
b. ☒ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§1.63(d)(2) and 1.33(b).

* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
a. ☐ Computer Readable Copy
b. ☐ Paper Copy (Identical to computer copy)
c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
9. ☐ English Translation Document
10. ☐ Information Disclosure Statement (IDS/PTO - PTO) ☐ Copies of IDS
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
13. ☐ *Small Entity Statement filed in prior application (PTO/SB/09-12) ☒ Status still proper and desired
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☒ Other: Another Preliminary Amendment amending the claims will be filed in the immediate future.

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☒ Continuation ☐ Divisional ☐ Continuation-in part (CIP) of prior application No: 09/333,099

Prior application information:

Examiner C. Chaney

Group/Art Unit: 1745

FOR CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Bi et al.

Applic No.:

Filed : Filed Herewith

For : BATTERIES WITH ELECTROACTIVE
NANOPARTICLES

Docket No.: N19.12-0040

Group Art Unit:

Examiner:

PRELIMINARY AMENDMENT

BOX Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Express Mail No. EL636045521US
Date of Deposit: June 29, 2000

Sir:

Prior to considering the enclosed continuation application,
please enter the following amendments.

IN THE SPECIFICATION

On page one after the title and before line 4, please add:

-- Cross Reference to Related Applications

This application is a continuation of copending and
commonly assigned U.S. Patent Application Serial No. 09/333,099 to
Bi et al., entitle "Batteries With Electroactive Nanoparticles,"
incorporated herein by reference, which is a continuation of U.S.
Patent Application Serial No. 08/897,776, now U.S. Patent
5,952,125.--


REMARKS

This application was filed with claims from the original
parent application. Amended claims will be filed in the immediate
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time of the case is taken up by the Examiner, please call
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Respectfully submitted,

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BATTERIES WITH ELECTROACTIVE NANOPARTICLES

Field of the Invention

5 This invention relates to batteries, especially lithium batteries and lithium ion batteries, incorporating nanoparticles, such as vanadium oxide nanoparticles, as an electroactive material.

Background of the Invention

10 The microminiaturization of electronic components has created widespread growth in the use of portable electronic devices such as cellular phones, pagers, video cameras, facsimile machines, portable stereophonic equipment, personal organizers and personal computers. The
15 growing use of portable electronic equipment has created ever increasing demand for improved power sources for these devices. Relevant batteries include primary batteries, i.e., batteries designed for use through a single charging cycle, and secondary batteries, i.e., batteries designed to
20 be rechargeable. Some batteries designed essentially as primary batteries may be rechargeable to some extent.

 Batteries based on lithium have been the subject of considerable development effort and are being sold commercially. Lithium based batteries generally use
25 electrolytes containing lithium ions. The anodes for these batteries can include lithium metal (lithium batteries), or compositions that intercalate lithium (lithium ion batteries). Preferred electroactive materials for incorporation into the cathodes are compositions that
30 intercalate lithium. The compositions that intercalate lithium, for use in the cathodes, generally are chalcogenides such as metal oxides that can incorporate the lithium ions into their lattice. Vanadium oxides are

examples of promising compounds for the production of cathodes because of their high theoretical energy densities.

Summary of the Invention

In a first aspect, this invention features a cathode
5 composition including vanadium oxide particles having an
average diameter less than about 1000 nm and a binder. The
vanadium oxide particles preferably have an average diameter
from about 5 nm to about 150 nm and more preferably from
about 5 nm to about 50 nm. The binder can be polyvinylidene
10 fluoride, polyethylene oxide, polyethylene, polypropylene,
polytetrafluoroethylene, polyacrylates, mixtures thereof or
copolymers thereof. The cathode composition can further
include supplementary electrically conductive particles.
The supplementary electrically conductive particles can
15 include carbon. The cathode composition preferably includes
from about 60 percent by weight to about 98 percent by
weight vanadium oxide particles.

In another aspect, the invention features a battery
including an anode, a cathode comprising vanadium oxide
20 particles having an average diameter less than about 1000 nm
and a binder, and a separator element disposed between the
anode and cathode. The anode can include lithium metal or a
composition that intercalates lithium. Suitable
intercalation compounds for the anode include, for example,
25 carbon compounds. The vanadium oxide particles preferably
have an average diameter from about 150 nm to about 5 nm.
The separator element can include a polymer electrolyte or a
porous polymeric material.

In another aspect, the invention features a battery
30 including an anode, an electrolyte, a cathode and a
separator element disposed between the anode and the
cathode, the electrolyte comprising lithium ions and the

cathode comprising nanoparticles of intercalation type, electroactive material and a binder, wherein the electroactive material in the cathode performs with an energy density greater than about 900 Wh/kg during discharge of the battery. The battery can be a secondary battery. The electroactive material of the cathode can have an energy density from about 950 Wh/kg to about 1200 Wh/kg. The electroactive material can include vanadium oxide. The electroactive material in the anode can include a lithium intercalation composition.

Batteries based on nanoparticles such as vanadium oxide nanoparticles lead to lithium batteries and lithium ion batteries with improved performance characteristics. In particular, the vanadium oxide nanoparticles have increased energy densities compared with larger diameter vanadium oxide particles. Using electroactive materials with increased energy densities yields batteries with higher capacities for a given amount of electroactive material. In this way, longer lasting, lighter and/or smaller batteries can be produced.

Other features and advantages of the invention follow from the detailed description below.

Brief Description of the Drawings

Fig. 1 is a schematic sectional view of an embodiment of a laser pyrolysis apparatus taken through the middle of the laser radiation path. The upper insert is a bottom view of the injection nozzle, and the lower insert is a top view of the collection nozzle.

Fig. 2 is a schematic, sectional view of an oven taken through the center of the quartz tube.

Fig. 3 is a schematic, perspective view of a battery of the invention.

Fig. 4 is a schematic, perspective view of the three electrode arrangement used in the examples.

Fig. 5 is an x-ray diffractogram of VO_2 nanoparticles.

5 Fig. 6 is a transmission electron micrograph view of crystalline VO_2 nanoparticles.

Fig. 7 depicts the discharge/recharge cycling of a battery of the invention using VO_2 electroactive particles.

Fig. 8 is an x-ray diffractogram of 2-D crystalline
10 V_2O_5 .

Fig. 9 is an x-ray diffractogram of amorphous V_2O_5 .

Fig. 10 is an x-ray diffractogram of mixed phase
 $\text{V}_6\text{O}_{13} + \text{VO}_2$.

Fig. 11 is an x-ray diffractogram of crystalline
15 V_2O_5 , sample A.

Fig. 12 is an x-ray diffractogram of crystalline
 V_2O_5 , sample B.

Description of the Preferred Embodiments

Vanadium oxide particles have been produced having
20 diameters less than a micron with vanadium in a variety of oxidation states and with a variety of lattice structures. The small size of the particles results in a significantly increased surface area for a given weight of material. Appropriate vanadium oxide nanoparticles incorporated into a
25 cathode for a lithium based battery exhibit a significantly increased energy density relative to comparable materials of larger particle size.

The vanadium oxide nanoparticles not only have high surface area resulting from their small size, but they also
30 can have a high degree of crystallinity. This high degree of crystallinity generally is maintained throughout each nanoparticle. Nanocrystalline materials generally do not

rely on pores to generate a high surface area. Pores can be undesirable since they represent a discontinuity in the crystalline lattice. The surface area of the nanoparticles generally results only from the faces of the particle.

5 Amorphous nanoparticles can also be produced. Amorphous vanadium oxides can have very high energy densities. Amorphous bulk particles can undergo crystalline rearrangements that reduce the energy density during the cycling of secondary batteries.

10 The vanadium oxide nanoparticles can be incorporated into a cathode film with a polymeric binder. The resulting film is appropriate for use as a cathode. While some of the vanadium oxides are reasonable electrical conductors, the film preferably incorporates additional electrically
15 conductive particles held by the binder along with the vanadium oxide particles. The cathode film can be used in a lithium battery or a lithium ion battery.

A. Production of Vanadium Oxide Nanoparticles

 Laser pyrolysis has been found to be a powerful
20 technique for producing vanadium oxides nanoparticles. In addition, the nanoscale vanadium oxide particles produced by laser pyrolysis can be subjected to additional processing to alter the properties of the nanoparticles without destroying the nanoparticle size.

25 A basic feature of successful application of laser pyrolysis for the production of vanadium oxide nanoparticles is the production of a molecular stream containing a vanadium precursor, a radiation absorber and an oxygen source. The molecular stream is pyrolyzed by an intense
30 laser beam. The intense heat resulting from the absorption of the laser radiation induces the oxidation of the vanadium precursor in the oxidizing environment. As the molecular

stream leaves the laser beam, rapid quenching of the vanadium materials results in particle formation.

The reaction conditions determine the qualities of the vanadium oxide particles produced by laser pyrolysis.

5 The appropriate reaction conditions to produce a certain type of nanoparticles generally depend on the design of the particular apparatus. The reaction conditions for laser pyrolysis can be controlled relatively precisely in order to produce vanadium oxides with desired properties.

10 Appropriate precursor compounds generally include vanadium compounds with sufficient vapor pressure to yield desired amounts of precursor vapor. Suitable vanadium precursor compounds include, for example, VCl_3 , VCl_4 , $VCCl$, $V(CO)_6$ and $VOCl_3$. The Cl in these representative precursor
15 compounds can be replaced with other halogens, e.g., Br, I and F. Preferred oxygen sources include, for example, O_2 , CO, CO_2 , O_3 and mixtures thereof.

Preferred lasers include, for example, CO_2 lasers, which produce infrared radiation. Infrared absorbers for
20 inclusion in the molecular stream include, for example, C_2H_4 , NH_3 , SF_6 and O_3 . O_3 can act as both an infrared absorber and as an oxygen source. The radiation absorber, such as the infrared absorber, absorbs energy from the radiation beam and distributes the energy as heat to the
25 other reactants to drive the pyrolysis.

An inert shielding gas can be used to reduce the amount of reactant and product molecules contacting the reactant chamber components. For the production of vanadium oxide nanoparticles, appropriate shielding gases include,
30 for example, Ar, He and N_2 .

Referring to Fig. 1, a pyrolysis apparatus 100 involves a reactant supply system 102, reaction chamber 104, collection system 106 and laser 108. Reactant supply system

102 includes a source 120 of vanadium precursor. For liquid precursors, a carrier gas from carrier gas source 122 can be introduced into precursor source 120, containing liquid precursor to facilitate delivery of the precursor. The carrier gas from source 122 preferably is either an infrared absorber or an inert gas. The carrier gas preferably is bubbled through the liquid vanadium precursor. The quantity of precursor vapor in the reaction zone is roughly proportional to the flow rate of the carrier gas.

Alternatively, carrier gas can be supplied directly from an infrared absorber source 124 or an inert gas source 126, as appropriate. The oxidizing agent is supplied from source 128, which can be a gas cylinder. The gases from the vanadium precursor source 120 are mixed with gases from oxidizing agent source 128, infrared absorber source 124 and inert gas source 126 by combining the gases in a single portion of tubing 130. The gases are combined a sufficient distance from the reaction chamber 104 such that the gases become well mixed prior to their entrance into the reaction chamber 104. The combined gas in tube 130 passes through a duct 132 into rectangular channel 134, which forms part of an injection nozzle for directing reactants into the reaction chamber.

Flow from sources 122, 124, 126 and 128 are preferably independently controlled by mass flow controllers 136. Mass flow controllers 136 preferably provide a controlled flow rate from each respective source. Suitable mass flow controllers include, for example, Edwards Mass Flow Controller, Model 825 series, from Edwards High Vacuum International, Wilmington, MA.

Inert gas source 138 is also connected to a inert gas duct 140, which flows into annular channel 142. A mass flow controller 144 regulates the flow of inert gas into

inert gas duct 140. Inert gas source 126 can also function as inert gas source for duct 140, if desired.

The reaction chamber 104 includes a main chamber 200. Reactant supply system 102 connects to the main chamber 200 at injection nozzle 202. The end of injection nozzle 202 has an annular opening 204 for the passage of inert shielding gas and a rectangular slit 206 for the passage of reactant gases to form a molecular stream in the reaction chamber. Annular opening 204 has a diameter of about 1.5 inches and a width along the radial direction of about 1/16 in. The flow of shielding gas through annular opening 204 helps to prevent the spread of the reactant gases and product particles throughout reaction chamber 104.

Tubular sections 208, 210 are located on either side of injection nozzle 202. Tubular sections 208, 210 include ZnSe windows 212, 214, respectively. Windows 212, 214 are about 1 inch in diameter. Windows 212, 214 are preferably plano-focusing lenses with a focal length equal to the distance between the center of the chamber to the surface of the lens to focus the beam to a point just below the center of the nozzle opening. Windows 212, 214 preferably have an antireflective coating. Appropriate ZnSe lenses are available from Janos Technology, Townshend, Vermont. Tubular sections 208, 210 provide for the displacement of windows 212, 214 away from main chamber 200 such that windows 212, 214 are less likely to be contaminated by reactants or products. Windows 212, 214 are displaced, for example, about 3 cm from the edge of the main chamber 200.

Windows 212, 214 are sealed with a rubber o-ring to tubular sections 208, 210 to prevent the flow of ambient air into reaction chamber 104. Tubular inlets 216, 218 provide for the flow of shielding gas into tubular sections 208, 210 to reduce the contamination of windows 212, 214. Tubular

inlets 216, 218 are connected to inert gas source 124 or to a separate inert gas source. In either case, flow to inlets 216, 218 preferably is controlled by a mass flow controller 220.

5 Laser 108 is aligned to generate a laser beam 222 that enters window 212 and exits window 214. Windows 212, 214 define a laser light path through main chamber 200 intersecting the flow of reactants at reaction zone 224. After exiting window 214, laser beam 222 strikes power meter
10 226, which also acts as a beam dump. An appropriate power meter is available from Coherent Inc., Santa Clara, CA. Laser 108 can be replaced with an intense conventional light source such as an arc lamp. Preferably, laser 108 is an
15 infrared laser, especially a CW CO₂ laser such as an 1800 watt maximum power output laser available from PRC Corp., Landing, NJ or a Coherent® model 525 (Coherent Inc., Santa Clara, CA) with a maximum power output of 375 watts.

Reactants passing through slit 206 in injection nozzle 202 initiate a molecular stream. The molecular
20 stream passes through reaction zone 224, where reaction involving the vanadium precursor takes place. Heating of the gases in reaction zone 224 is extremely rapid, roughly on the order of 10⁵°C/sec depending on the specific conditions. The reaction is rapidly quenched upon leaving
25 reaction zone 224, and nanoparticles 228 are formed in the molecular stream. The nonequilibrium nature of the process allows for the production of nanoparticles with a highly uniform size distribution and structural homogeneity.

The path of the molecular stream continues to
30 collection nozzle 230. Collection nozzle 230 is spaced about 2 cm from injection nozzle 202. The small spacing between injection nozzle 202 and collection nozzle 230 helps reduce the contamination of reaction chamber 104 with

reactants and products. Collection nozzle 230 has a circular opening 232. Circular opening 232 feeds into collection system 106.

5 The chamber pressure is monitored with a pressure gauge attached to the main chamber. The preferred chamber pressure for the production of vanadium oxides ranges from about 80 Torr to about 300 Torr.

10 Reaction chamber 104 has two additional tubular sections not shown. One of the additional tubular sections projects into the plane of the sectional view in Fig. 1, and the second additional tubular section projects out of the plane of the sectional view in Fig. 1. These tubular sections have windows for observing the inside of the chamber. In this configuration of the apparatus, the two
15 additional tubular sections are not used to facilitate production of nanoparticles.

Collection system 106 can include a curved channel 250 leading from collection nozzle 230. Because of the buoyant nature of the nanoparticles, the product
20 nanoparticles follow the flow of the gas around curves. Collection system 106 includes a filter 252 within the gas flow to collect the product nanoparticles. A variety of materials such as teflon, glass fibers and the like can be used for the filter as long as the material is inert and has
25 a fine enough mesh to trap the particles. Preferred materials for the filter include, for example, a glass fiber filter from ACE Glass Inc.. Vineland, NJ.

Pump 254 is used to maintain collection system 106 at a reduced pressure. A variety of different pumps can be
30 used. Appropriate pumps 254 include, for example, Busch Model B0024 pump from Busch, Inc., Virginia Beach, VA with a pumping capacity of about 25 cubic feet per minute (cfm). It may be desirable to flow the exhaust of the pump through

a scrubber 256 to remove any remaining reactive chemicals before venting into the atmosphere. The entire apparatus 100 can be placed in a fume hood for ventilation purposes and for safety considerations. Generally, the laser remains
5 outside of the fume hood because of its large size.

The apparatus is controlled by a computer. Generally, the computer controls the laser and monitors the pressure in the reaction chamber. The computer can be used to control the flow of reactants and/or the shielding gas.
10 The pumping rate is controlled by either a manual needle valve or an automatic throttle valve inserted between pump 254 and filter 252. As the chamber pressure increases due to the accumulation of particles on filter 252, the manual valve or the throttle valve can be adjusted to maintain the
15 pumping rate and the corresponding chamber pressure.

The reaction can be continued until sufficient nanoparticles are collected on the filter 252 such that the pump can no longer maintain the desired pressure in the reaction chamber 104 against the resistance through filter
20 252. When the pressure in the reaction chamber 104 can no longer be maintained at the desired value, the reaction is stopped, and the filter 252 is removed. With this embodiment, about 3-5 grams of nanoparticles can be collected in a single run. Therefore, it is straightforward
25 to produce a macroscopic quantity of nanoparticles, i.e., a quantity visible with the naked eye.

The configuration of the reactant supply system 102 and the collection system 106 can be reversed. In this alternative configuration, the reactants can be supplied
30 from the bottom of the reaction chamber while the products are collected from the top of the chamber. In this configuration, it is especially preferred to include a curved section in the collection system so that the

collection filter is not mounted directly above the reaction chamber.

An alternative design of a laser pyrolysis apparatus has been described. See, commonly assigned U.S. Patent Application No. 08/808,850, entitled "Efficient Production of Particles by Chemical Reaction," incorporated herein by reference. This alternative design is intended to facilitate production of commercial quantities of nanoparticles.

As noted above, properties of the vanadium oxide nanoparticles can be modified by further processing. For example, the vanadium oxide nanoparticles can be heated in an oven in an oxidizing environment or an inert environment to alter the oxygen content and/or crystal structure of the vanadium. It has been discovered that the use of mild conditions, i.e., temperatures well below the melting point of the nanoparticles, results in modification of the stoichiometry or crystal structure of vanadium oxides without significantly sintering of the nanoparticles into larger particles. This processing is further discussed in commonly assigned and simultaneously filed, U.S. Patent Application Ser. No. __/__, __, entitled "Processing of Vanadium Oxide Particles With Heat," incorporated herein by reference. The heat processing can be performed on initial particles that were not produced by laser pyrolysis, including particles that do not have a generally spherical shape, i.e., particles that are flat sheets or needle like.

An example of an apparatus 400 to perform this processing is displayed in Fig. 2. Apparatus 400 includes a tube 402 into which the nanoparticles are placed. Tube 402 is connected to an oxidizing gas source 404 and inert gas source 406. Oxidizing gas, inert gas or a combination

thereof to produce the desired atmosphere are placed within tube 402.

Preferably, the desired gases are flowed through tube 402. Appropriate active gases to produce an oxidizing environment (oxidizing gas) include, for example, O_2 , O_3 , CO , CO_2 and combinations thereof. The oxidizing gases can be diluted with inert gases such as Ar , He and N_2 . The gases in tube 402 can be exclusively inert gases, if desired.

Tube 402 is located within the heating oven or furnace 408. Oven 408 maintains the relevant portions of tube 402 at a relatively constant temperature, although the temperature can be varied systematically through the processing step, if desired. Temperature in oven 408 generally is measured with a thermocouple 410. The vanadium oxide particles can be placed in tube 402 within a vial 412. Vial 412 prevents loss of the particles due to gas flow. Vial 412 generally is oriented with the open end directed toward the direction of the source of gas flow.

The precise conditions including the type of oxidizing gas (if any), concentration of oxidizing gas, pressure or flow rate of gas, temperature and processing time can be selected to produce the desired type of product material. The temperatures generally are mild, i.e., significantly below the melting point of the material. The use of mild conditions avoids interparticle sintering resulting in larger particle sizes. Some controlled sintering of the vanadium oxide particles can be performed in oven 408 at somewhat higher temperatures to produce slightly larger average particle diameters.

For the processing of vanadium oxides, the temperatures preferably range from about $50^\circ C$ to about $1000^\circ C$, and more preferably from about $80^\circ C$ to about $800^\circ C$.

The nanoparticles preferably are heated for about 1 hour to about 100 hours. High melting point VO_2 is relatively easy to form in the laser pyrolysis apparatuses described above. VO_2 is a suitable starting product for oxidation to other
5 forms of vanadium oxide. Some empirical adjustment may be required to produce the conditions to generate the desired material.

B. Properties of the Vanadium Oxide Nanoparticles

Vanadium oxide has a intricate phase diagram due to
10 the many possible oxidation states of vanadium. Vanadium is known to exist in oxidation states between V^{+5} and V^{+2} . The energy differences between the oxides with vanadium in the different oxidation states is not large. Therefore, it is possible to produce stoichiometric mixed valence compounds.
15 Known forms of vanadium oxide include VO , $\text{VO}_{1.27}$, V_2O_3 , V_3O_5 , VO_2 , V_6O_{13} , V_4O_9 , V_3O_7 , and V_2O_5 . Single phase vanadium oxide nanoparticles can be crystalline or amorphous.

There are also mixed phase regions of the vanadium oxide phase diagram. In the mixed phase regions, particles
20 can be formed that have domains with different oxidation states or different particles can be simultaneously formed with vanadium in different oxidation states. In other words, certain particles or portions of particles have one stoichiometry while other particles or portions of particles
25 have a different stoichiometry. Non-stoichiometric materials can also be formed.

The vanadium oxides generally form crystals with octahedral or distorted octahedral coordination. Specifically, VO , V_2O_3 , VO_2 , V_6O_{13} and V_3O_7 can form crystals
30 with octahedral coordination. In addition, V_3O_7 can form crystals with trigonal bipyramidal coordination. V_2O_5 forms crystals with square pyramidal or distorted octahedral

coordination. V_2O_5 recently has also been produced in a two dimensional crystal structure. See, M. Hibino, et al., Solid State Ionics 79:239-244 (1995), incorporated herein by reference. When produced under appropriate conditions, the
5 vanadium oxide nanoparticles can be amorphous. The crystalline lattice of the vanadium oxide can be evaluated using x-ray diffraction measurements.

Vanadium oxide nanoparticles produced by laser
pyrolysis possibly combined with further heat processing
10 preferably have an average diameter of less than a micron, more preferably from about 5 nm to about 150 nm and even more preferably from about 5 nm to about 50 nm. The nanoparticles generally have a roughly spherical gross appearance. Upon closer examination, the particles
15 generally have facets corresponding to the underlying crystal lattice. Nevertheless, the nanoparticles tend to exhibit growth that is roughly equal in the three physical dimensions to give a gross spherical appearance. Diameter measurements on particles with asymmetries are based on an
20 average of length measurements along the principle axes of the crystal. The measurements along the principle axes preferably are each less than about 1 micron for at least about 95 percent of the nanoparticles, and more preferably for at least about 98 percent of the nanoparticles.

25 Because of their small size, the nanoparticles tend to form loose agglomerates due to van der Waals forces between nearby particles. Nevertheless, the nanometer scale of the particles is clearly observable in transmission electron micrographs of the particles. The particles
30 generally have a surface area corresponding to particles on a nanometer scale as observed in the micrographs. Furthermore, as described below, the nanoparticles manifest

unique properties due to their small size and large surface area per weight of material.

The nanoparticles preferably have a high degree of uniformity in size. As determined from examination of transmission electron micrographs, the particles generally have a distribution in sizes such that at least about 95 percent of the particles have a diameter greater than about 40 percent of the average diameter and less than about 160 percent of the average diameter. Preferably, the nanoparticles have a distribution of diameters such that at least about 95 percent of the particles have a diameter greater than about 60 percent of the average diameter and less than about 140 percent of the average diameter. In addition, the nanoparticles generally have a high level of purity. The vanadium oxide nanoparticles are expected to have a purity greater than the reactant gases because the crystal formation process tends to exclude contaminants from the lattice.

The above described techniques have been successfully applied to the production of nanoparticles of vanadium oxide with several different stoichiometries and with different crystal structures with the same stoichiometry. The structures and compositions have been examined using x-ray diffractometry. The sizes of some of the particles have been examined using transmission electron microscopy. The properties of the types of vanadium oxide nanoparticles obtained are summarized in Table 1.

Table 1

<u>Stoichiometry</u>	<u>Lattice</u>
Single Phase VO_2	Monoclinic
Single Phase $\text{VO}_{1.27}$	Tetragonal
Single Phase V_2O_5	Amorphous 2-D crystals Crystalline
Mixed Phase $\text{V}_6\text{O}_{13} + \text{VO}_2$	V_6O_{13} majority/Monoclinic
Mixed Phase $\text{V}_2\text{O}_3 + \text{VO}_2$	V_2O_3 majority/Rhombohedral

Additional information on the production and properties of vanadium oxide nanoparticles is found in commonly assigned and simultaneously filed, U.S. Patent Application Ser. No. __/__, __, entitled "Vanadium Oxide Nanoparticles," incorporated herein by reference.

C. Lithium Based Batteries

Referring to Fig. 3, battery 450 has an anode 452, a cathode 454 and separator 456 between anode 452 and cathode 454. A single battery can include multiple cathodes and/or anodes. Electrolyte can be supplied in a variety of ways as described further below. Battery 450 preferably includes current collectors 458, 460 associated with anode 452 and cathode 454, respectively. Multiple current collectors can be associated with each electrode if desired.

Lithium has been used in reduction/oxidation reactions in batteries because they are the lightest metal and because they are the most electropositive metal.

Certain forms of vanadium oxide are known to incorporate lithium ions into its structure through intercalation or similar mechanisms such as topochemical absorption. Intercalation of lithium ions into suitable forms of a vanadium oxide lattice forms Li_xVO_y . Appropriate vanadium

oxides can be an effective electroactive material for a cathode in either a lithium or lithium ion battery.

Lithium intercalated vanadium oxide is formed in the battery during discharge. The lithium leaves the lattice upon recharging, i.e., when a voltage is applied to the cell such that electric current flows into the cathode due to the application of an external EMF to the battery.

Intercalation generally is reversible, making certain vanadium oxides suitable for the production of secondary batteries.

Cathode 454 includes electroactive nanoparticles such as vanadium oxide nanoparticles held together with a binder. Nanoparticles for use in cathode 454 generally can have any shape, e.g., roughly spherical nanoparticles or elongated nanoparticles. Cathode 454 can include other electroactive nanoparticles such as TiO_2 nanoparticles. The production of TiO_2 nanoparticles has been described, see U.S. Patent Ser. No. 4,705,762, incorporated herein by reference. TiO_2 nanoparticles are expected to exhibit relatively high energy densities in lithium based batteries by analogy with the results discovered for vanadium oxides nanoparticles.

The cathode optionally can include electrically conductive particles in addition to the electroactive nanoparticles. These supplementary, electrically conductive particles generally are also held by the binder. Suitable electrically conductive particles include conductive carbon particles such as carbon black, metal particles such as silver particles and the like.

With vanadium oxide nanoparticles, very high energy densities have been achieved. Preferred vanadium oxide nanoparticles have energy densities in lithium based batteries significantly greater than the theoretical maximum

values for the corresponding bulk vanadium oxide particles. Vanadium oxide nanoparticles preferably have energy densities at least about 150 percent of the theoretical maximum of the bulk material, and more preferably at least
5 about 200 percent of the theoretical maximum of the bulk material. Specifically, preferred vanadium oxide nanoparticles in lithium based batteries have an energy density of at least about 900 Wh/kg, preferably at least about 1000 Wh/kg. Electroactive nanoparticles can have an
10 energy density in the range from 1000 Wh/kg to about 1200 Wh/kg.

High loadings of particles can be achieved in the binder. Particles preferably make up greater than about 80 percent by weight of the cathode, and more preferably
15 greater than about 90 percent by weight. The binder can be any of various suitable polymers such as polyvinylidene fluoride, polyethylene oxide, polyethylene, polypropylene, polytetrafluoroethylene, polyacrylates and mixtures and copolymers thereof.

20 Anode 402 can be constructed from a variety of materials that are suitable for use with lithium ion electrolytes. In the case of lithium batteries, the anode can include lithium metal or lithium alloy metal either in the form of a foil, grid or metal particles in a binder.

25 Lithium ion batteries use particles of an composition that can intercalate lithium. The particles are held with a binder in the anode. Suitable intercalation compounds include, for example, graphite, synthetic graphite, coke, mesocarbons, doped carbons, fullerenes,
30 niobium pentoxide and SnO_2 .

Current collectors 458, 460 facilitate flow of electricity from battery 450. Current collectors 458, 460 are electrically conductive and generally made of metal such

as nickel, iron, stainless steel, aluminum and copper and can be metal foil or preferably a metal grid. Current collector 458, 460 can be on the surface of their associated electrode or embedded within their associated electrode.

5 The separator element 456 is electrically insulating and provides for passage of at least some types of ions. Ionic transmission through the separator provides for electrical neutrality in the different sections of the cell. The separator generally prevents electroactive compounds in
10 the cathode from contacting electroactive compounds in the anode.

A variety of materials can be used for the separator. For example, the separator can be formed from glass fibers that form a porous matrix. Preferred
15 separators are formed from polymers such as those suitable for use as binders. Polymer separators can be porous to provide for ionic conduction. Alternatively, polymer separators can be solid electrolytes formed from polymers such as polyethylene oxide. Solid electrolytes incorporate
20 electrolyte into the polymer matrix to provide for ionic conduction without the need for liquid solvent.

Electrolytes for lithium batteries or lithium ion batteries can include any of a variety of lithium salts. Preferred lithium salts have inert anions and are nontoxic.
25 Suitable lithium salts include, for example, lithium hexafluorophosphate, lithium hexafluoroarsenate, lithium bis(trifluoromethyl sulfonyl imide), lithium trifluoromethane sulfonate, lithium tris(trifluoromethyl sulfonyl) methide, lithium tetrafluoroborate, lithium
30 perchlorate, lithium tetrachloroaluminate, lithium chloride and lithium perfluorobutane.

If a liquid solvent is used to dissolve the electrolyte, the solvent preferably is inert and does not

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dissolve the electroactive materials. Generally appropriate solvents include, for example, propylene carbonate, dimethyl carbonate, diethyl carbonate, 2-methyl tetrahydrofuran, dioxolane, tetrahydrofuran, 1, 2-dimethoxyethane, ethylene carbonate, γ -butyrolactone, dimethyl sulfoxide, acetonitrile, formamide, dimethylformamide and nitromethane.

The shape of the battery components can be adjusted to be suitable for the desired final product, for example, a coin battery, a rectangular construction or a cylindrical battery. The battery generally includes a casing with appropriate portions in electrical contact with current collectors and/or electrodes of the battery. If a liquid electrolyte is used, the casing should prevent the leakage of the electrolyte. The casing can help to maintain the battery elements in close proximity to each other to reduce resistance within the battery. A plurality of battery cells can be placed in a single case with the cells connected either in series or in parallel.

Examples

20 Vanadium oxide based lithium batteries were evaluated to determine the charge capacity and energy density of the vanadium oxide powders used as active materials in the cathodes. The batteries tested in the following examples were all produced following a common procedure. The vanadium oxide powders (VO) were mixed with a conductive acetylene black powder (AB) (Catalog number 55, Chevron Corp.) at a ratio of 60:30. The powder mixture was ground with a mortar and palette to thoroughly mix the powders.

30 A few drops of polyvinylidene fluoride (PVDF) solution were added to the homogeneous powder mixture. The 10 percent PVDF solution included PVDF (Catalog reference R-

1, Elf Atochem North America, Inc., Philadelphia, PA)
dissolved in 1-methyl-2-pyrrolidinone (Aldrich Chemical Co.,
Milwaukee, WI). The final ratio of VO:AB:PVDF was 60:30:10.
The resulting slurry was spread onto a preweighed nickel
5 metal mesh. The mesh with the slurry was baked in a vacuum
oven overnight at 120°C to remove the solvent and residual
moisture. After removal from the oven, the electrodes were
immediately placed in a glove box (Vacuum Atmosphere Co.,
Hawthorne, CA) under an argon atmosphere and weighted again.

10 All discharge/charge experiments were conducted in
the glove box. The water and oxygen concentrations in the
glove box were measured to be less than 1 ppm and 1.5 ppm,
respectively. The samples were tested in a three electrode
15 configuration, as shown in Fig. 4. In the test set up,
cathode 502 on nickel mesh 504 is placed in container 506.
Container 506 holds liquid electrolyte 508. Counter
electrode 510 and reference electrode 512 are also placed
into container 506. Lithium metal was used as both counter
electrode and reference electrode. The electrodes are
20 connected to a battery testing system 514.

No separator is needed for this testing
configuration since the electrodes are physically separated.
Alternatively, the liquid electrolyte can be viewed as the
separator. The liquid electrolyte (from Merck & Co., Inc.)
25 was 1M LiClO₄ in propylene carbonate.

The samples were tested at the same discharge/charge
rate of C/20 (i.e., a rate such that the cathode would be
fully discharged in 20 hours), and cycled between 4.0 volts
and 1.8 volts at 25°C. The measurements were controlled by
30 an Arbin Battery Testing System, Model BT4023, from Arbin
Instruments, College Station, TX. The charging/discharging
profiles were recorded, and the discharge capacity and
energy density of the active materials were obtained.

The energy density is evaluated by the integral over the discharge time of the voltage multiplied by the current divided by the mass of the active material. The current is kept approximately constant during discharge. Currents used during testing ranged from about 0.08 mA to about 0.12 mA. The current is adjusted to have an approximately constant current density for all the tests. The current density was about 0.1 mA/cm². The active material mass ranged from about 5 to about 17 mg.

10 Comparative Example 1- Commercial V₆O₁₃

Commercial V₆O₁₃ was purchased from Alfa Aesar, a Johnson Matthey Company, Ward Hill, MA. A 7.8 mg quantity of the commercial V₆O₁₃ powder was processed into a cathode and tested in a lithium battery, as described above. Over the course of the 170 hour test, the battery was discharged three times. The initial voltage was 3.54 V. The cell had a discharge capacity of 246.2 Ah/kg and an energy density of the cathode active material of 610.1 Wh/kg. This energy density compared with a theoretical maximum value of 890 Wh/kg based on the reversible intercalation of up to 8 lithium ions per unit cell of vanadium oxide.

Example 1 - VO₂ Nanoparticles

Nanoscale VO₂ particles were produced by laser pyrolysis using the apparatus shown in Fig. 1. The conditions for the synthesis are presented in Table 2.

Table 2
Typical Reaction Parameter Values

Phase	VO ₂	V ₂ O ₅	V ₂ O ₅	V ₆ O ₁₃ + VO ₂
Crystal Structure	Monoclinic	2D crystal	Amorphous	Monoclinic
Pressure (Torr)	320	300	135	110
Argon - Win (sccm)	700	700	700	700
Argon - Sld. (slm)	5.6	1.12	0.98	2.1
Ethylene (sccm)	460	268	603	173
Carrier Gas (sccm)	Ethyl.	676 (Ar)	116 (Ar)	140 (Ar)
Oxygen (sccm)	36	400	284	88
Laser Output (watts)	96	67	180	192
Nozzle Opening (in)	5/8 x 1/16	5/8 x 1/16	5/8 x 1/16	5/8 x 1/8

sccm = standard cubic centimeters per minute

slm = standard liters per minute

Argon - Win. = argon flow through inlets 216, 218

Argon - Sld. = argon flow through annular channel 142

An x-ray diffractogram of nanoscale VO₂ powders is presented in Fig. 5. A transmission electron microscope (TEM) photograph of the particles is shown in Fig. 6. An analysis of the TEM photo reveals an average particle size of about 22 nm. A plot of voltage as a function of time during the discharge/charge cycling is shown in Fig. 7. A battery produced with these materials yielded a Discharge Capacity of 249 Ah/kg and an energy density of 549 Wh/kg.

The Discharge Capacity and Energy Density are nearly twice the values obtained from larger VO₂ particles. These values are higher than the theoretical maximum-stoichiometric energy density of 390 Wh/kg for VO₂ for the bulk material at 25°C. See, K. West et al., "Vanadium Oxides as Electrode Materials for Rechargeable Lithium Cells," J. of Power Sources 20:165-172 (1987), incorporated herein by reference.

Example 2 - Single Phase 2D-V₂O₅ Nanoparticles

Single phase 2-D V_2O_5 nanoparticles were produced by laser pyrolysis using the apparatus shown in Fig. 1. The conditions for the synthesis are presented in Table 2.

5 A x-ray diffractogram of nanoscale 2-D V_2O_5 powders is presented in Fig. 8. An analysis of a TEM photo of the materials reveals an average particle size of about 20 nm. A battery produced with these materials yielded a Discharge Capacity of 146 Ah/kg and an Energy Density of 380 Wh/kg.

Example 3 - Amorphous V_2O_5 Nanoparticles

10 Single phase amorphous V_2O_5 nanoparticles were produced by laser pyrolysis using the apparatus shown in Fig. 1. The conditions for the synthesis are presented in Table 2.

15 A typical x-ray diffractogram of nanoscale amorphous V_2O_5 nanoparticle powders is presented in Fig. 9. An analysis of a TEM photo of the materials reveals an average particle size of about 200 nm for the amorphous V_2O_5 nanoparticles used to form the cathode. Two batteries produced with these materials yielded Discharge Capacities of 186.1 Ah/kg and 177.3 Ah/kg, and Energy Densities of 476 Wh/kg and 446.2 Wh/kg.

Example 4 - Mixed Phase V_6O_{13} / VO_2 Nanoparticles

25 Mixed phase crystalline V_6O_{13} / VO_2 nanoparticles were produced by laser pyrolysis using the apparatus shown in Fig. 1. The conditions for the synthesis are presented in Table 2.

30 A x-ray diffractogram of the mixed phase nanoscale crystalline V_6O_{13} / VO_2 nanoparticle powders is presented in Fig. 10. An analysis of a TEM photo of the materials reveals an average particle size of about 20 to about 30 nm for the mixed phase V_6O_{13} / VO_2 nanoparticles. A battery produced with these materials yielded a Discharge Capacity of 174 Ah/kg and an Energy Density of 403 Wh/kg.

Example 5 - Crystalline V₂O₅ Nanoparticles

The crystalline V₂O₅ nanoparticles were produced by further processing nanoparticles produced by laser pyrolysis in an oven with an oxygen atmosphere. Two types of nanoparticles produced by laser pyrolysis (Sample A and Sample B) were used for further processing. The nanoparticles of Sample A were amorphous V₂O₅ particles. The nanoparticles of samples B were crystalline VO₂ nanoparticles. The conditions used for the production of the two samples is shown in Table 3. A third sample was produced by laser pyrolysis using conditions presented in column 3 of Table 3.

Table 3

Phase	V ₂ O ₅	VO ₂	
Crystal Structure	Amorphous	Monoclinic	
Pressure (Torr)	142.5	127	230
Argon - Win (sccm)	700	700	700
Argon - Sld. (slm)	0.98	0.98	5.6
Ethylene (sccm)	1072	268	2680
Carrier Gas (Argon) sccm	676	676	980
Oxygen (sccm)	642	200	700
Laser Output (watts)	215	220	180
Nozzle Size	5/8" x 1/16"	5/8" x 1/16"	5/8" x 1/8"

Quantities of nanoparticles from both Sample A and Sample B were further processed in an oven, as schematically shown in Fig. 2. The nanoparticles were processed in the oven for 16 hours at 202°C with an O₂ flow rate of 105.6 sccm. The resulting nanoparticles from both Sample A and Sample B were crystalline V₂O₅ with characteristic x-ray diffraction spectra as shown in Figs. 11 and 12, respectively. Note that nanoparticles of both amorphous

V_2O_5 and crystalline VO_2 were converted into similar vanadium oxide nanocrystals.

The crystalline V_2O_5 nanoparticles were formed into batteries. The crystalline V_2O_5 nanoparticles from Sample A yielded a Discharge Capacity of 399 Ah/kg and a Energy Density of 1005 Wh/kg while the crystalline V_2O_5 nanoparticles from Sample B yielded a Discharge Capacity of 370 Ah/kg and an Energy Density of 919 Wh/kg. Note that crystalline V_2O_5 nanoparticles have resulted in an Energy Density greater than 1000 Wh/kg, which is greater than a factor of two larger than estimated theoretical maximum values for bulk V_2O_5 .

The third sample (column 3 of Table 3) was also further processed in an oven. The nanoparticles were heated for 16 hours at 227°C with an O_2 flow rate of 105.6 sccm. Processing these heat treated particles into a battery as described above, a Discharge Capacity of 438 Ah/kg and an Energy Density of 1121 Wh/kg were measured.

Example 6 - Summary of Oven Treated Nanoparticles And Nanoparticles Produced Directly by Laser Pyrolysis

This example summarizes a set of measurements taken with different batches of vanadium oxide nanoparticles. The nanoparticles were either made directly with laser pyrolysis (Table 4A) or with additional heating in an oven under oxidizing conditions (Table 4B).

Table 4A
Vanadium Oxide Properties

Material	Discharge Capacity (Ah/kg)	Energy Density (Wh/kg)
Amorphous V_2O_5	180	461
VO_2^*	137	328
2D - $V_2O_5^{**}$	146	380
VO_2	235	519
VO_2	249	549
VO_2	168	377
$VO_{1.27}$	118	270
V_2O_3	94	209
Mixed Phase VO_2/V_6O_{13}	228	510
Amorphous V_2O_5	188	445
Mixed Phase - V_6O_{13}/VO_2	174	403

- 15 * Two measurements were made with the same nanoparticles.
The individual values were 140 Ah/kg and 133 Ah/kg
(Discharge Capacity), and 323 Wh/kg and 333 Wh/kg (Energy
Density).
- ** Two measurements were made with the same nanoparticles.
- 20 The individual values were 146 Ah/kg and 146 Ah/kg
(Discharge Capacity), and 378 Wh/kg and 381 Wh/kg (Energy
Density).

Table 4B
Vanadium Oxide Properties - Heat Treated

Material	Discharge Capacity (Ah/kg)	Energy Density (Wh/kg)
Orthorhombic V_2O_5	336	848
Mixed Phase VO_2/V_2O_5	297	721
Orthorhombic V_2O_5	399	1005
Orthorhombic V_2O_5	370	919
2-D V_2O_5	292	738
Mixed Phase 2D V_2O_5/VO_2	304	751
	438	1121

10 The embodiments described above are intended to be
representative and not limiting. Additional embodiments of
the invention are within the claims. As will be understood
by those skilled in the art, many changes in the methods and
apparatus described above may be made by the skilled
15 practitioner without departing from the spirit and scope of
the invention, which should be limited only as set forward
in the claims which follow.

What is claimed is:

1. A cathode composition comprising vanadium oxide particles having an average diameter less than about 1000 nm and a binder.

5 2. The cathode composition of claim 1 wherein the vanadium oxide particles have an average diameter from about 150 nm to about 5 nm.

3. The cathode composition of claim 1 wherein the vanadium oxide particles have an average diameter from about
10 50 nm to about 5 nm.

4. The cathode composition of claim 1 wherein the binder comprises polyvinylidene fluoride, polyethylene oxide, polyethylene, polypropylene, polytetrafluoroethylene, polyacrylates or mixtures or copolymers thereof.

15 5. The cathode composition of claim 1 further comprising supplementary electrically conductive particles.

6. The cathode composition of claim 5 wherein the supplementary electrically conductive particles comprise carbon.

20 7. The cathode composition of claim 1 wherein the cathode comprises from about 60 percent by weight to about 98 percent by weight vanadium oxide particles.

8. A battery comprising an anode, a cathode comprising vanadium oxide particles having an average
25 diameter less than about 1000 nm and a binder, and a separator element disposed between the anode and cathode.

9. The battery of claim 8 wherein the anode comprises lithium metal.

30 10. The battery of claim 8 wherein the anode comprises a composition that intercalates lithium.

11. The battery of claim 10 wherein the intercalation compound within the anode comprises carbon.

12. The battery of claim 8 wherein the vanadium oxide particles have an average diameter from about 150 nm to about 5 nm.

13. The battery of claim 8 wherein the separator element comprises a polymer electrolyte.

14. The battery of claim 8 wherein the separator element comprises a porous polymeric material.

15. A battery comprising an anode, an electrolyte, a cathode and a separator element disposed between the anode and the cathode, the electrolyte comprising lithium ions and the cathode comprising nanoparticles of intercalation type electroactive material and a binder, wherein the electroactive material in the cathode exhibits an energy density greater than about 900 Wh/kg during discharge of the battery.

16. The battery of claim 15 wherein the battery is a secondary battery.

17. The battery of claim 15 wherein the electroactive material in the cathode exhibits an energy density from about 950 Wh/kg to about 1200 Wh/kg.

18. The battery of claim 15 wherein the electroactive nanoparticles comprise vanadium oxide.

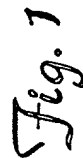
19. The battery of claim 15 wherein the electroactive material in the anode comprises a composition that intercalates lithium.

BATTERIES WITH ELECTROACTIVE NANOPARTICLES

Abstract of the Disclosure

Batteries based on nanoparticles are demonstrated that achieve high energy densities. Vanadium oxide nanoparticles can have several different stoichiometries and corresponding crystal lattices. The nanoparticles preferably have average diameters less than about 500 nm and more preferably less than about 150 nm. Cathodes produced using the vanadium oxide nanoparticles and a binder can be used to construct lithium batteries or lithium ion batteries. The nanoparticles may have energy densities greater than about 900 Wh/kg.

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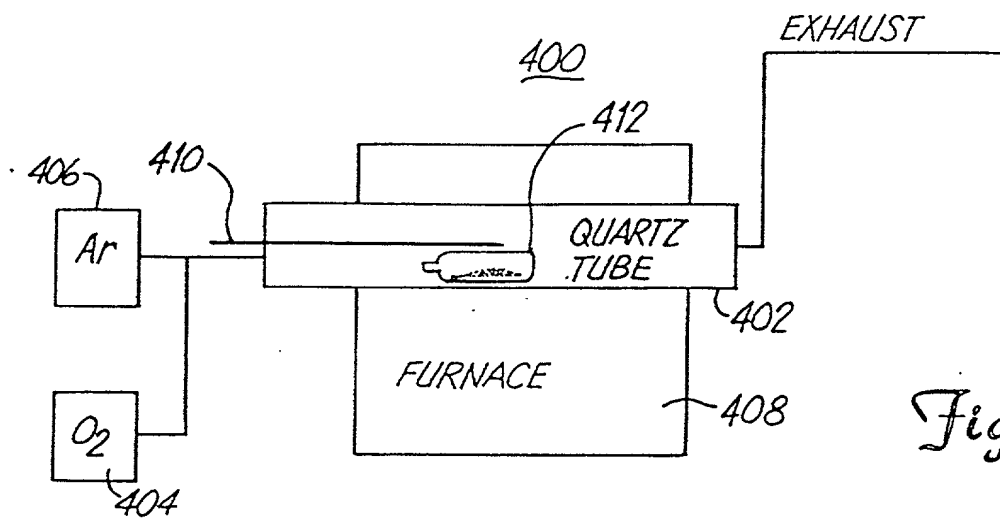


Fig. 2

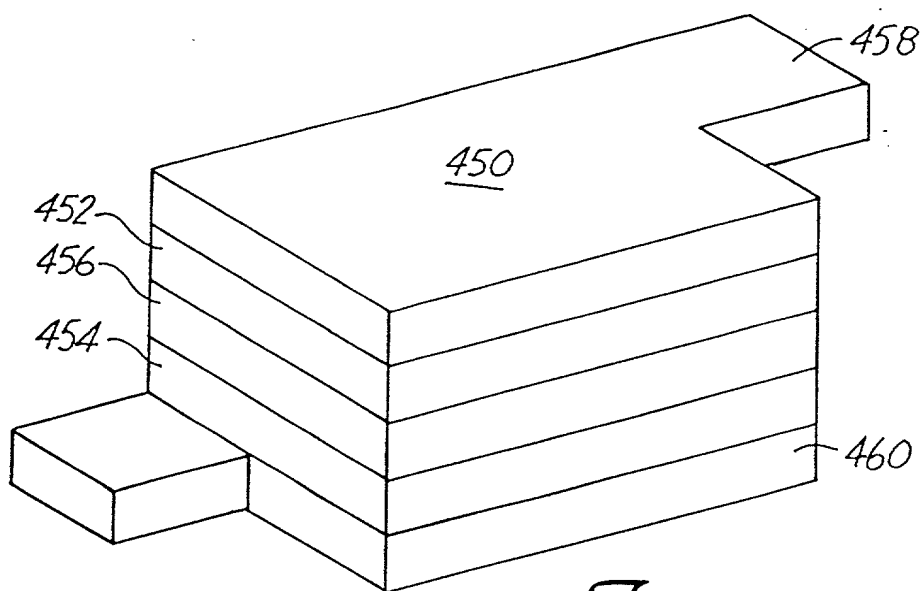


Fig. 3

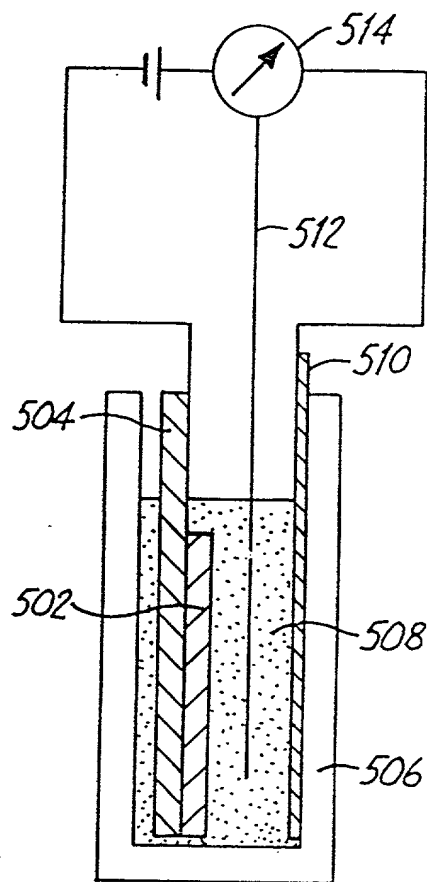


Fig. 4

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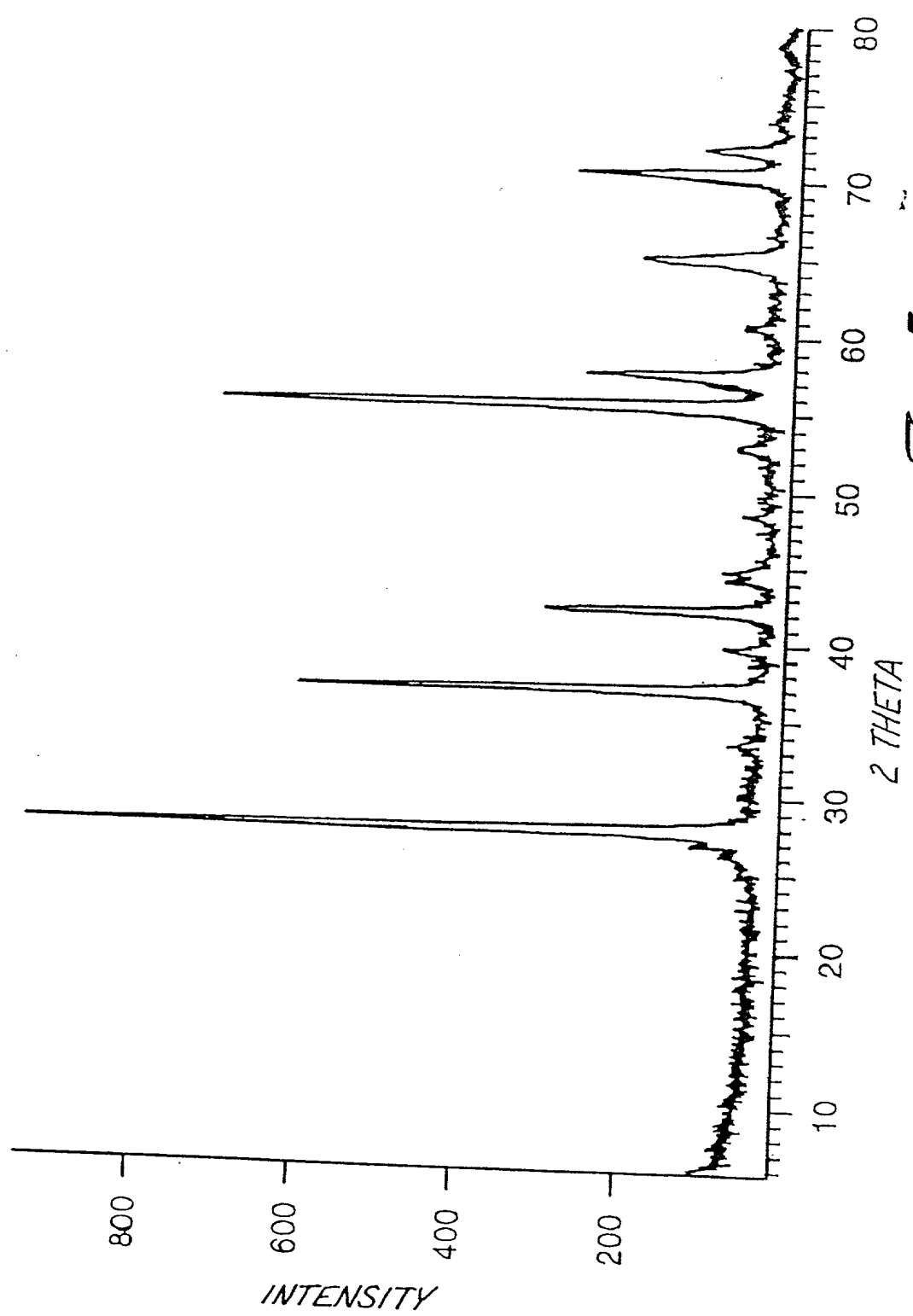


Fig. 5

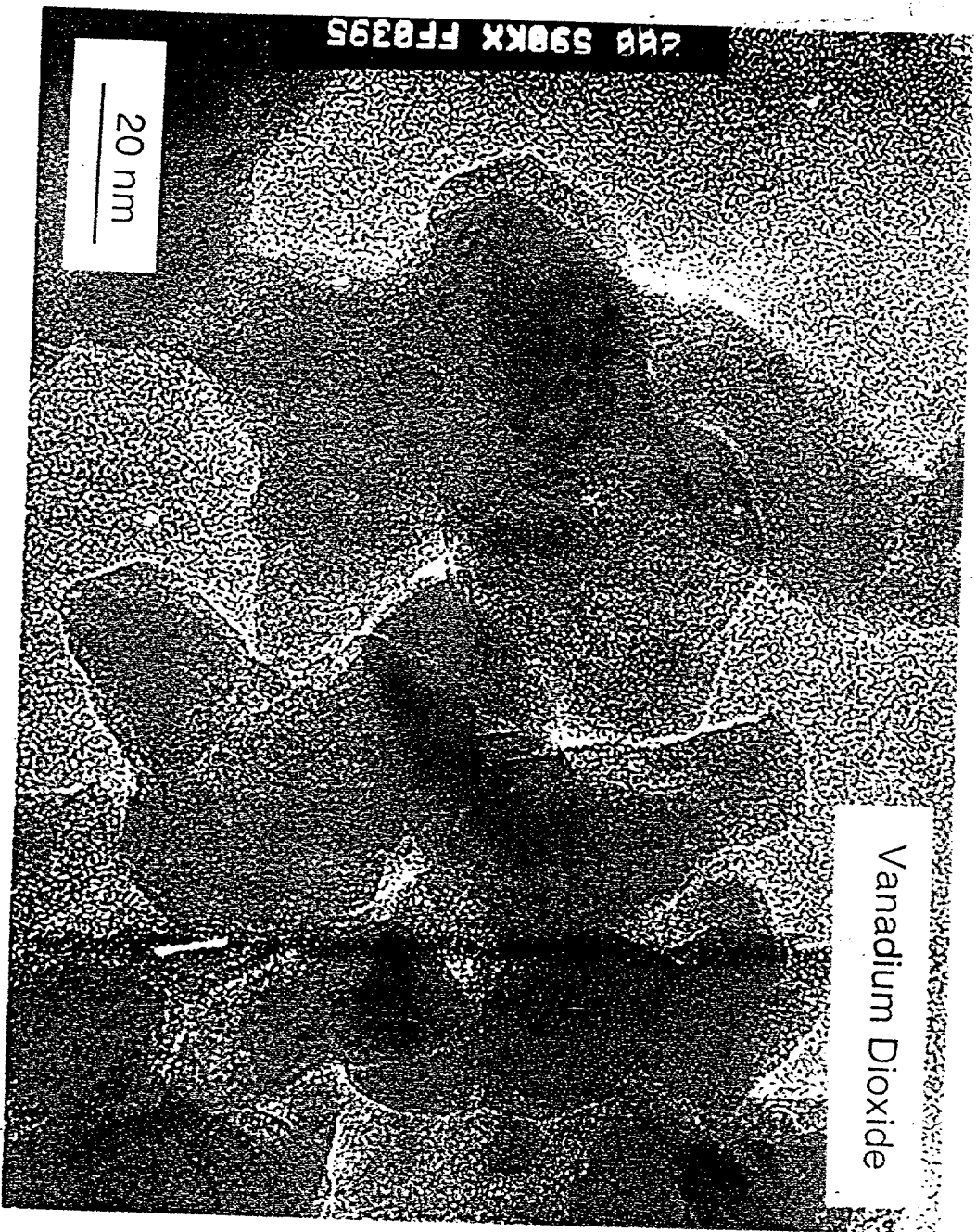


Fig. 6

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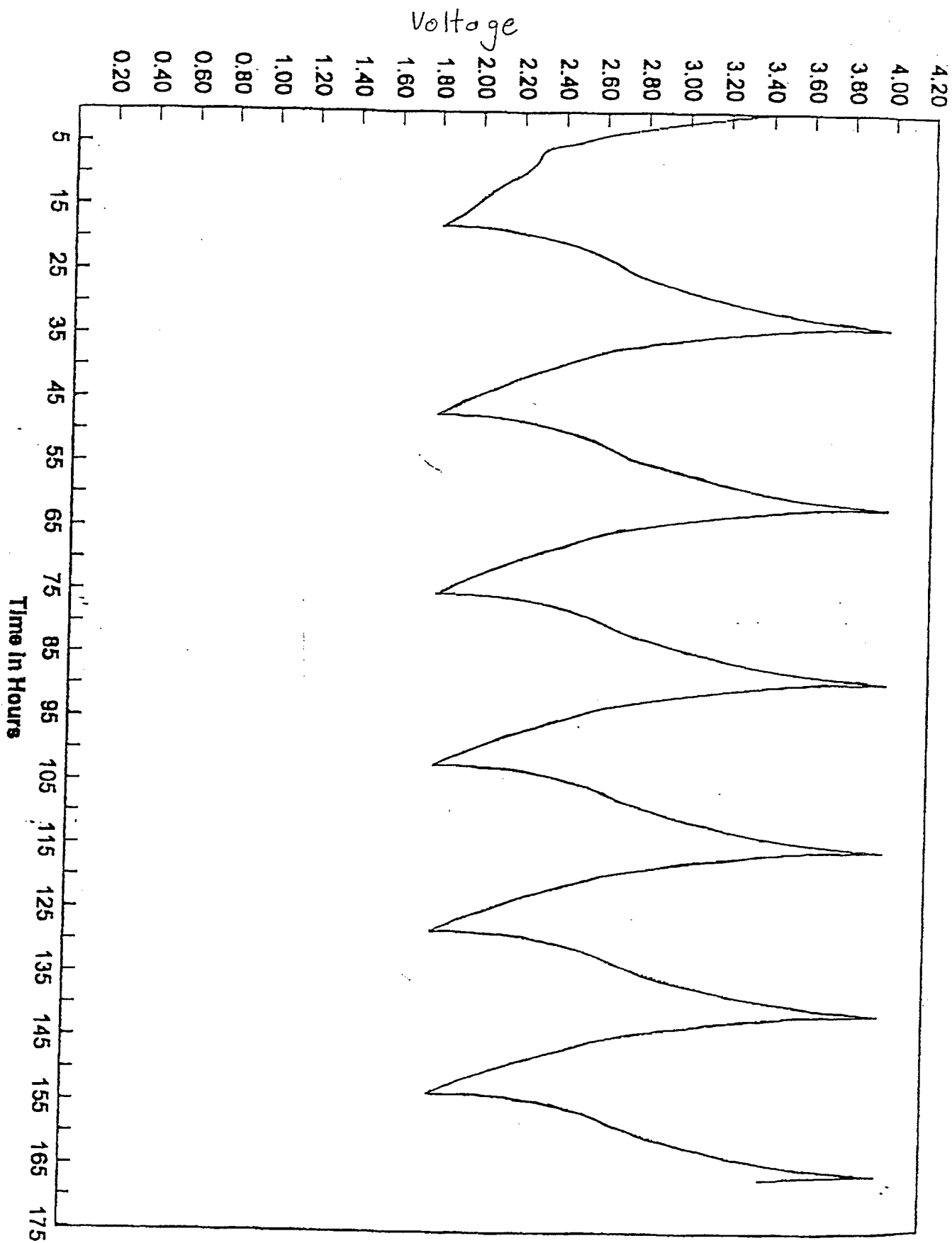


Fig. 7

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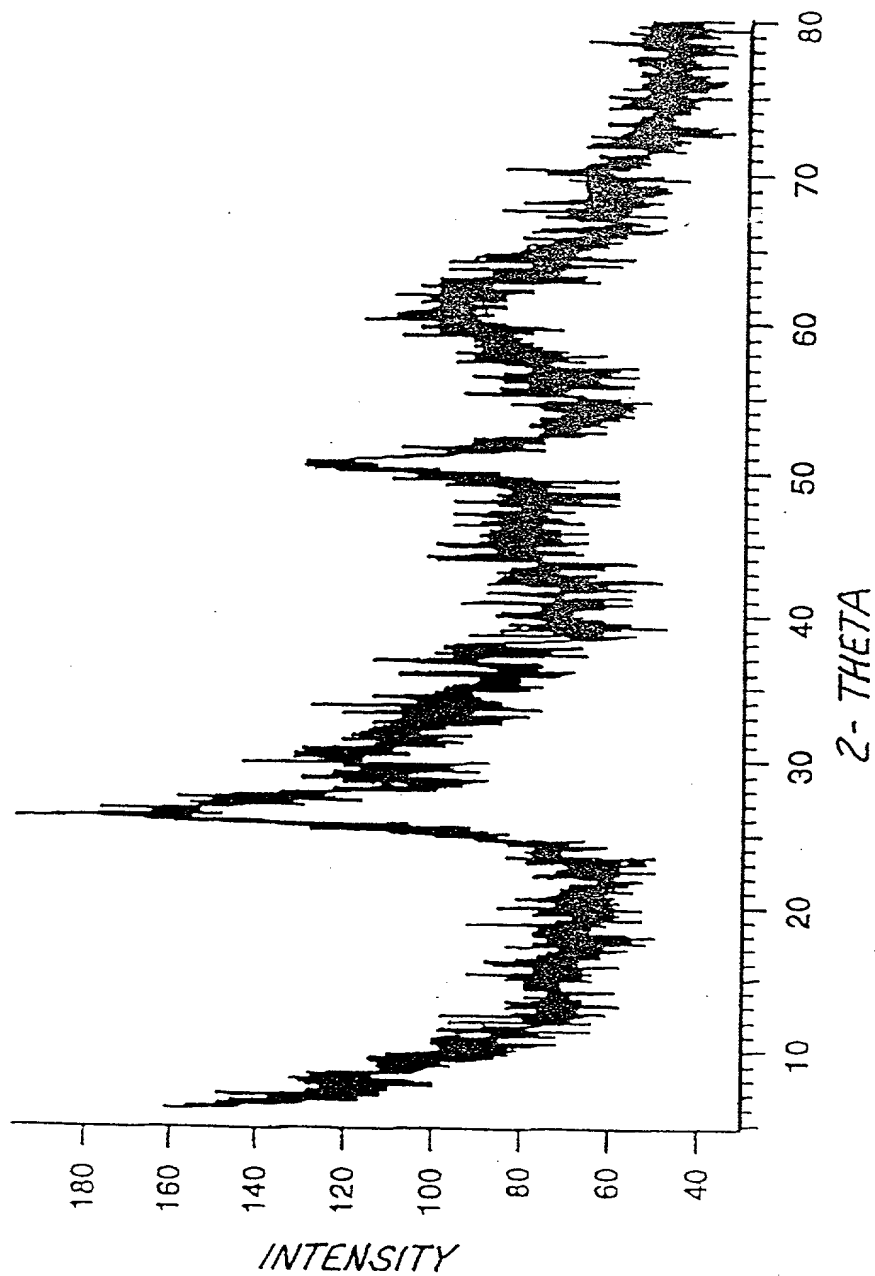


Fig. 8

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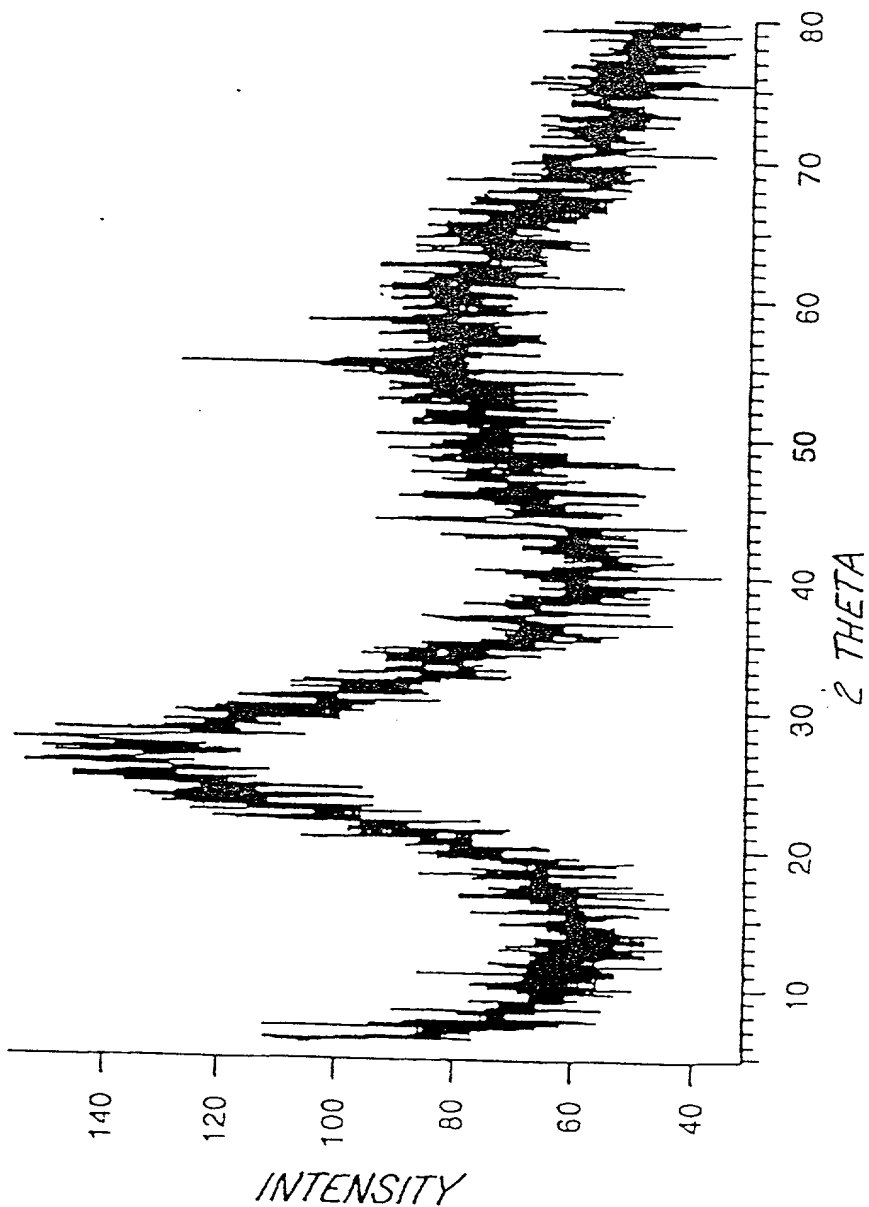


Fig. 9

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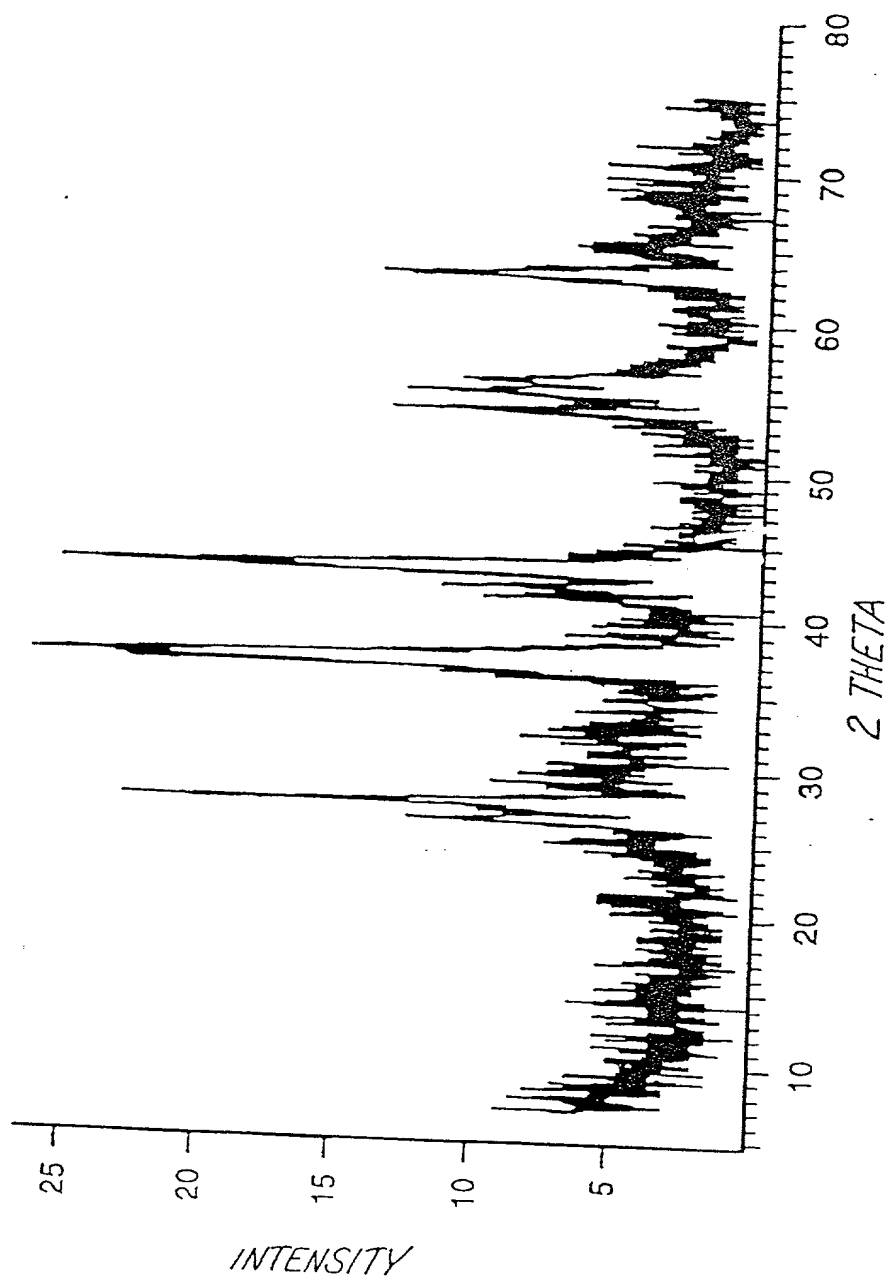


Fig. 10

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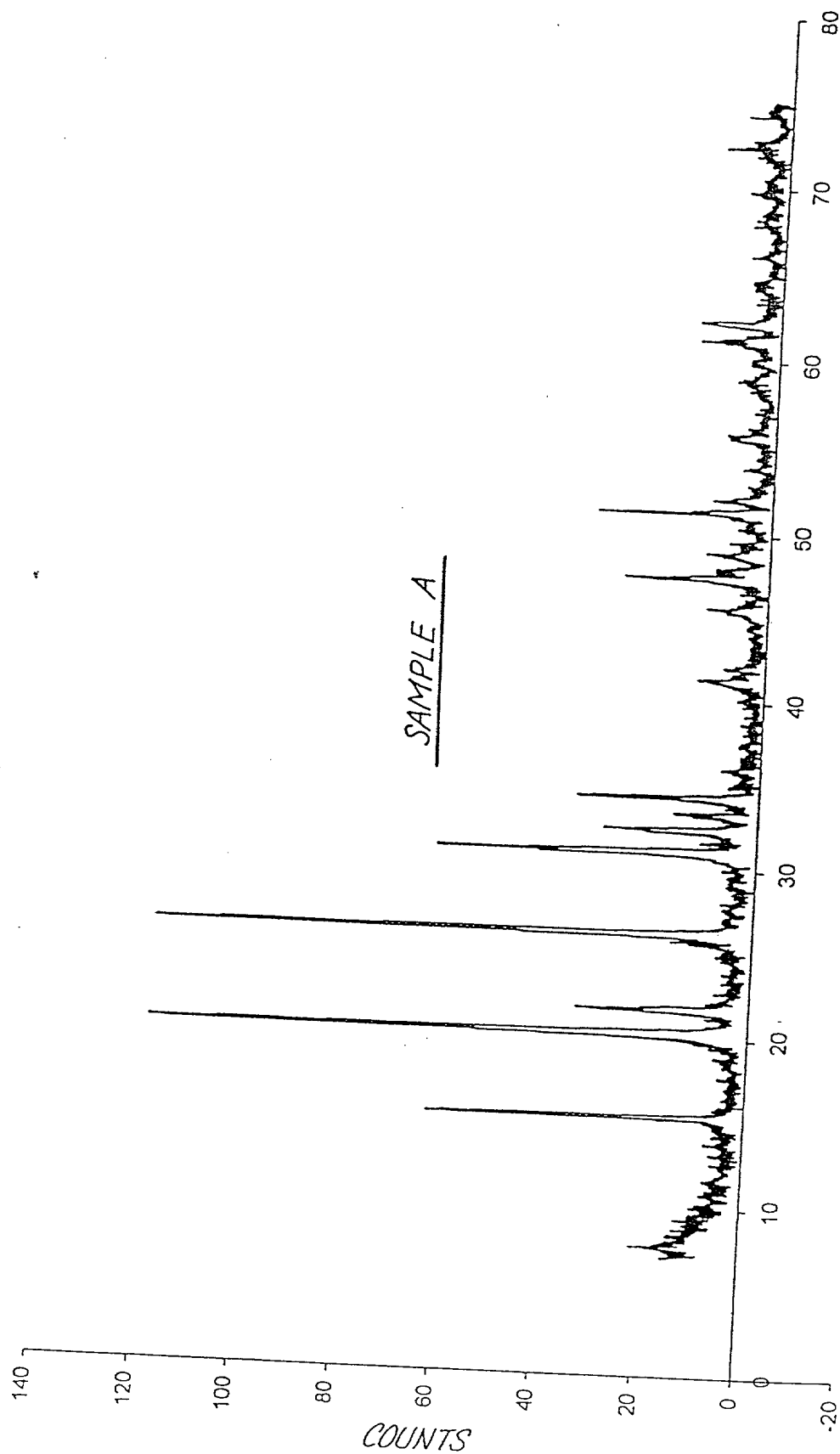
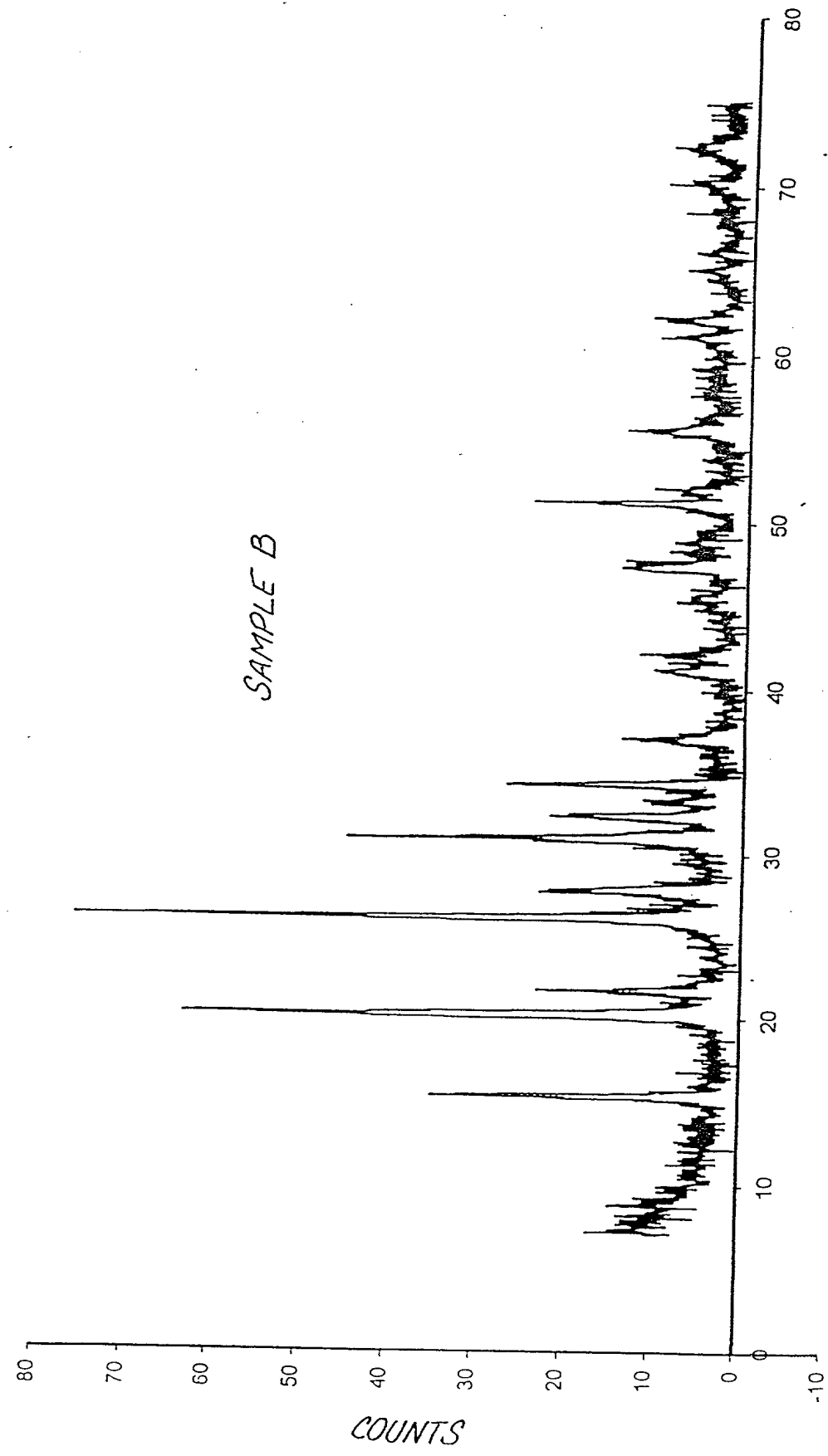


Fig. 11

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SAMPLE B

Fig. 12

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled BATTERIES WITH ELECTROACTIVE NANOPARTICLES, the specification of which

☐ is attached hereto.

☒ was filed on July 21, 1997 as Application Serial No. 08/897,776.

☐ was described and claimed in PCT International Application No. _____
filed on _____ and as amended under PCT Article 19 on _____.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Peter S. Dardi, Reg. No. 39,650; Roger S. Borovoy, Reg. No. 20,193; and Dorothy P. Whelan, Reg. No. 33,814.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

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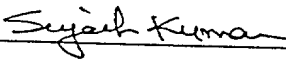
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